TO THE DEN Form PTO-1449 (modified) TY. DKT. NO. 5181-72000 List of Patents and Publications

For Applicant's Information

Disclosure Statement (Use several sheets if necessary) APPLICANT: Slaughter et al

SERIAL NO. 09/660,553

GROUP: - UNKNOWN 2193

FILING DATE: September 15, 2000

		υ	J.S. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
TA	A1	5,455,952	10/3/95	Gjovaag	717	108	
Z	A2	5,386,568	1/31/95	Wold, et al.	717	162	
1201	A3	5,423,042	6/6/95	Jalili, et al.	719	328	
A)A	A4	5,481,721	1/2/96	Serlet, et al.	719	315	
AD	A5	5,557,798	9/17/96	Skeen, et al.	705	35	
701	A6	5,944,793	8/31/99	Islam, et al.	709	220	
10	A7	5,946,485	8/31/99	Weeren, et al.	717	109	
LOS	A8	5,946,694	8/31/99	Copeland, et al.	707	103R	
295	A9	4,491,946	1/1/85	Kryshow, Jr., et al.	370	453	
491	A10	4,713,806	12/15/87	Oberlander, et al.	370	358	
700	Al1	4,809,160	2/28/89	Mahon, et al.	713	200	
12)	A12	4,939,638	7/3/90	Stephenson, et al.	710	244	
790	A13	5,088,036	2/11/92	Ellis, et al.	767	206	
795	A14	4,823,122	4/18/89	Mann, et al.	340	825.28	
721	A15	4,956,773	9/11/90	Saito, et al.	717	104	
400	A16	5109,486	4/28/92	Seymour	709	224	
405	A17	5,187,787	2/16/93	Skeen, et al.	719	314	
100	A18	5,218,699	6/8/93	Brandle, et al.	719	328	
401	A19	5,257,369	10/26/93	Skeen, et al.	719	312	
70	A20	5,293,614	3/8/94	Ferguson, et al.	707	201	
705	A21	5,297,283	3/22/94	Kelly, Jr., et al.	7.8	104	
£98	A22	5,307,490	4/26/94	Davidson, et al.	719	328	
290	A23	5,311,591	5/10/94	Fischer	713	156	
£00.	A24	5,339,435	8/16/94	Lubkin, et al.	717	121	
700	A25	5,390,328	2/14/95	Frey, et al.	719	315	
W	A26	5,440,744	8/8/95	Jacobson, et al.	709	203	

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Form PTO-1449 (modified)
List of Patents and Publications TRADE

For Applicant's Information Disclosure Statement (Use several sheets if necessary)

TTY, DKT. NO. 5181-72000

APPLICANT: Slaughter et al

FILING DATE: September 15, 2000

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GROUP: -UNKNOWN

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
-AID	A27	5,448,740	9/5/95	Kiri, et al.	717	155	
Y M	A28	5,452,459	9/19/95	Drury, et al.	707	3	
TAN)	A29	5,471,629	11/28/95	Risch	707	201	
AN	A30	5,475,792	12/12/95	Stanford, et al.	704	233	
3/1	A31	5,475,817	12/12/95	Waldo, et al.	719	طر31	
300	A32	5,504,921	4/2/96	Dev, et al.	709	223	
AM	A33	5,511,197	4/23/96	Hill, et al.	719	328	
401	A34	5,524,244	6/4/96	Robinson, et al.	717	140	
AD	A35	5,553,282	9/3/96	Parrish, et al.	707	10	
70	A36	5,555,367	9/10/96	Premerlani, et al.	707	4	
700	A37	5,555,427	9/10/96	Aoe, et al.	709	201	
M	A38	5,560,003	9/24/96	Nilsen, et al.		206	
40	A39	5,561,785	10/1/96	Blandy, et al.	711	170	
401	A40	5,577,231	11/19/96	Scalzi, et al.	703	26	
TA)	A41	5,594,921	1/14/97	Pettus	7/6	11	
401)	A42	5,603,031	2/11/97	White, et al.	719	317	
1(1)	A43	5,680,573	10/21/97	Rubin, et al.	711	129	
300	A44	5,680,617	10/21/97	Gough, et al.	707	104,1	
7(1)	A45	5,684,955	11/4/97	Meyer, et al.	719	316	
911	A46	5,689,709	11/18/97	Corbett, et al.	719	315	
ZW.	A47	5,706,435	1/6/98	Barbara, et al.	711	141	
101	A48	5,706,502	1/6/98	Foley, et al.	707	10	
AN	A49	5,724,588	3/3/98	Hill, et al.	719	328	
FU	A50	5,727,145	3/10/98	Nessett, et al.	713	200	
70	A51	5,617,537	4/1/97	Yamada, et al.	709	214	
795	A52	5,628,005	5/6/97	Hurvig	707	8	
200	A53	5,640,564	6/17/97	Hamilton, et al.	719	315	

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SERIAL NO. 09/660,553

List of Patents and Publications

List of Patents and Publications

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PPLICANT: Slaughter et al

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Disclosure Statement (Use several sheets if necessary)

FILING DATE: September 15, 2000

ILS PATENT DOCUMENTS

		U	.S. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
721	A54	5,644,768	7/1/97	Periwal, et al.	718	102	
701	A55	5,652,888	7/29/97	Burgess, et al.	719	318	
701	A56	5,655,148	8/5/97	Richman, et al.	710	8	
705	A57	5,659,751	8/19/97	Heninger	719	332	
Igs	A58	5,671,225	9/23/97	Hooper, et al.	370	468	
10	A59	5,675,796	10/7/97	Hodges, et al.	718	100	
TO	A60	5,737,607	4/7/98	Hamilton, et al.	719	316	
201	A61	5,745,678	4/28/98	Herzberg, et al.	713	200	
201	A62	5,745,695	4/28/98	Gilchrist, et al.	709	227	
200	A63	5,745,703	4/28/98	Cejtin, et al.	709	238	
70	A64	5,745,755	4/28/98	Covey.	70	203	
700	A65	5,748,897	5/5/98	Katiyar	709	219	
120	A66	5,754,849	5/19/98	Dyer, et al.	767	101	
795	A67	5,757,925	5/26/98	Faybishenko	709	203	
790	A68	5,761,656	6/2/98	Ben-Shachar	707	4	
201	A69	5,764,897	6/9/98	Khalidi	709	201	
#	A70	5,768,532	6/16/98	Megerian	709	245	
201	A71	5,774,551	6/30/98	Wu, et al.	713	155	
110	A72	5,778,228	7/7/98	Wei	719	328	
JAD	A73	5,778,368	7/7/98	Hogan, et al.	707	10	
790	A74	5,787,425	7/28/98	Bigus	707	4	
TO	A75	5,787,431	7/28/98	Shaughnessy	702	160	
TO	A76	5,790,548	8/4/98	Sistanizadeh, et al.	370	401	
20	A77	5,802,367	9/1/98	Held, et al.	719	332	
20	A78	5,808,911	9/15/98	Tucker, et al.	719	316	
TV.	A79	5,809,507	9/15/98	Cavanaugh, III	707	101	
12	A80	5,813,013	9/22/98	Shakib, et al.	707	102	

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For Applicant's Information Disclosure Statement (Use several sheets if necessary)

TTY. DKT. NO. 5181-72000

APPLICANT: Slaughter et al

FILING DATE: September 15, 2000

SERIAL NO. 094660

GROUP: UNKNOWN 2193

HS PATENT DOCUMENTS

		U	S. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
791	A81	5,815,149	9/29/98	Mutschler, III et al.	715	762	
491	A82	5,815,709	9/29/98	Waldo, et al.	712	300	
721	A83	5,815,711	9/29/98	Sakamoto, et al.	717	105	·
795	A84	5,818,448	10/6/98	Katiyar	709	203	
700	A85	5,829,022	10/27/98	Watanabe, et al.	711	118	
100	A86	5,832,219	11/3/98	Pettus ,	709	203	
121	A87	5,832,529	11/3/98	Wollrath, et al.	707	206	
190	A88	5,832,593	11/10/98	Wurst, et al.	29	750	
Zas	A89	5,835,737	11/10/98	Sand, et al.	710	113	
100	A90	5,842,018	11/24/98	Atkinson, et al.	719	332	
1/2	A91	5,844,553	12/1/98	Hao, et al.	715	733	
702	A92	5,845,129	12/1/98	Wendorf, et al.	710	200	
200	A93	5,860,004	1/12/99	Fowlow, et al.	717	109	
705	A94	5,860,153	1/12/99	Matena, et al.	711	2/6.	
£9.)	A95	5,864,862	1/26/99	Kriens, et al.	707	103R	
701	A96	5,864,866	1/26/99	Henckel, et al.	707	163R	
<i>19</i> 1	A97	5,872,928	2/16/99	Lewis, et al.	709	222	
790	A98	5,872,973	2/16/99	Mitchell, et al.	7:19	332	
ZQ)	A99	5,875,335	2/23/99	Beard	717	139	
190	A100	5,878,411	3/2/99	Burroughs, et al.	707	4	
A D.	A101	5,884,024	3/16/99	Lin, et al.	713	201	
190	A102	5,884,079	3/16/99	Furusawa	717	109	
<i>J</i>	A103	5,887,134	3/23/99	Ebrahim	709	200	
AS	A104	5,890,158	3/30/99	House, et al.	707	10	
200	A105	5,892,904	4/6/99	Atkinson, et al.	713	201	
498	A106	5,933,497	8/3/99	Beetcher, et al.	705	59	
25	A107	5,935,249	8/10/99	Stern, et al.	713	20	

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List of Patents and Publications
For Applicant's Information
Disclosure Statement
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TY. DKT. NO. 5181-72000

APPLICANT: Slaughter et al

FILING DATE: September 15, 2000

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GROUP: UNKNOWN

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
280	A108	5,940,827	8/17/99	Hapner, et al.	707	8	
201	A109	5,966,531	10/12/99	Skeen, et al.	719	315	
100	A110	5,969,967	10/19/99	Aahlad, et al.	700	2	
100	A111	5,987,506	11/16/99	Carter, et al.	709	213	
TO	A112	5,999,179	12/7/99	Kekic, et al.	715	734	
400	A113	6,003,763	12/21/99	Gallagher, et al.	235	379	
700	A114	6,009,103	12/28/99	Woundy	370	Ι.	
201	A115	6,016,496	1/18/00	Roberson	707	103R	
401	A116	6,026,414	2/15/00	Anglin	707	204	
700	A117	6,031,977	2/29/00	Pettus	709	230	
205	A118	6,061,699	5/9/00	DiCecco, et al.	715	13	
400	A119	6,061,713	5/9/00	Bharadhwaj	709	203	
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List of Patents and Publications TRADE

For Applicant's Information
Disclosure Statement
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XTTY. DKT. NO. 5181-72000

APPLICANT: Slaughter et al.

FILING DATE: September 15, 2000

SERIAL NO. 09/660,555

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO			
····	<u> </u>	FOR	EIGN PATEN	T DOCUMENTS						
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO			
£9,0	E1	00/17748	3/30/00	WO			·			
	<u>!</u>	OTHER ART (I	acluding Author	, Title, Date, Pertinent	Pages, Etc.)		1			
200	E2	"The Emerging Distributed Web, Part ¾ and 4/4," September 1998, pages. 1-9, XP002135919								
795	E3	"XML and Jini - On using XML and the JAVA Border Service Architecture to Integrate Mobile Devices into the JAVA Intelligent Network Infrastructure," Muller-Wilken, et al., February 29, 2000, 2 pages, XP002188507.								
400	E4	"WDDX: Distributed Data for the Web (URL)," Simeonov S., December 7, 1998, pages 1-7, XP002135918								
£10.	E5	"XML JavaBeans, Part 2," M	. Johnson, March	1999, pages 1-8, XP0022	12704					
£98	E6	International Search Report f	or PCT/US 01/152	276, mailed September 25	, 2002.					
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Form PTO-1449 (modified)

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		τ	J.S. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
790	Dì	5,778,187	7/7/98	Monteiro, et al.	709	231	
ZW	D2	5,832,529	11/3/98	Wollrath, et al.	707	206	
As	D3	6,016,500	1/18/00	Waldo, et al.	707	202	
1		FOR	EIGN PATE	NT DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
7NJ	Cl	11-45187	10/10/97	JР			
7/3/	C2	2 253 079	8/26/92	GB			
705	C3	2 262 825	6/30/93	GB			
100	C4	2 305 087	3/26/97	GB			
400	C5	300 516	1/25/89	EP			
AgD	C6	351 536	1/24/90	EP			
195	C7	384 339	8/29/90	EP			
Z (1)	C8	472 874	3/4/92	EP			
ZIS	C9	474 340	3/11/92	EP			
£9)	C10	497 022	8/5/92	EP			
LGO.	C11	555 997	8/18/93	EP			
J.D.	C12	565 849	10/20/93	EP			
100	C13	569 195	11/10/93	EP			
19.5	C14	697 655	2/21/96	EP			
100	C15	660 231	6/28/95	EP			
M	C16	651 328	5/3/95	EP			
DD	C17	625 750	11/23/94	EP			
10).	C18	718 761	6/26/96	EP			
TV)	C19	767 432	4/9/97	EP			
AD)	C20	778 520	6/11/97	EP			
400	C21	794 493	9/10/97	EP			

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EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
zQ1	C22	803 810	10/29/97	EP			
100	C23	803 811	10/29/97	EP			
201	C24	805 393	11/5/97	EP			
10)	C25	810 524	12/3/97	EP			
401	C26	817 020	1/7/98	EP			
TO	C27	817 022	1/7/98	ЕР			
TIM	C28	817 025	1/7/98	EP			
70)	C29	836 140	4/15/98	EP			
190	C30	92/07335	4/30/92	wo			
701	C31	92/09948	6/11/92	wo			
701	C32	93/25962	12/23/93	wo			
400	C33	94/03855	2/17/94	wo			
201	C34	96/10787	4/11/96	WO			
100	C35	96/03692	2/8/96	wo			
TO	C36	96/18947	6/20/96	WO			
AV)	C37	96/24099	8/8/96	wo			
111)	C38	98/02814	1/22/98	wo			
700	C39	98/04971	2/5/98	wo			
9		OTHER ART (including Autho	or, Title, Date, Pertinent F	ages, Etc.)		
791	C40	Jaworski, "Java 1.1 Develop	er's Guide, 2 nd Ec	lition," Sams.net, 1997.			
799	C41	Coulouris, et al., "Distribute	d Systems Conce	pts and Designs," Second Ed	dition, Addiso	on-Wesley,	1994.
200	C42	Mullender, "Distributed Sys	tems," Second Ed	lition, Addison-Wesley, 199	3.		
400	C43	Lindholm, et al., "The Java	гм Virtual Machir	ne Specification," Addison V	Vesley, 1996.		
291	D4	"SOAP: Simple Object Ao http://msdn.Microsoft.com		msdn online Web Worksho apspec.asp, 34 pages.	p, Microsoft,	April 26, 2	2000,
281	D4	Rob Guth, "Sun tries on Ja	acaSpaces for Di	stributed OS," August 1997	7, Vol. 19, Is	sue 34, 2 pa	ages.
294	D6	Microsoft, "Microsoft.NE 2000, 8 pages.	T: Realizing the	Next Generation Internet,"	A Microsoft	White Pape	er, June

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Information Disclosure Statement--PTO 1449 (modified)

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SERIAL NO. 09/660,55

For Applicant's Information Disclosure Statement (Use several sheets if necessary) D7 K. F. Eustice, et al., "A Universal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 19 Pp. 575-601. D8 Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 D9 Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.	
(Use several sheets if necessary) D7 K. F. Eustice, et al., "A Universal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 19 Pp. 575-601. D8 Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 D9 Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.	
D7 K. F. Eustice, et al., "A Universal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 19 Pp. 575-601. D8 Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 D9 Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.	
Pp. 575-601. D8 Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 D9 Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.	
D9 Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.	pages.
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